ICHNOLOGY AND SEDIMENTOLOGY REVEAL DEPOSITIONAL CHARACTERISTICS OF BAY-MARGIN PARASEQUENCES IN THE MIOCENE AMAZONIAN FORELAND BASIN

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ABSTRACT: Proposed depositional models for Miocene Amazon foreland basin strata (Pebas Formation, Peru) are controversial. Recent depositional models include lacustrine and tidally influenced, brackish-water embayment. This paper presents data that support tidally influenced, brackish-water deposition for at least part of Pebas time (10–14 Ma). Two parasequences are presented (Santa Julia and Tamshi-yacu). Both crop out along the Amazon River in Upper Amazonia near Iquitos, Peru. At these locations, abundant evidence of brackish-water, tidally influenced deposition is documented, including marginal marine bioturbation, sedimentary couplets, semidiurnal couplets (preserved in burrows), and pinstripe lamination. The deposits are locally highly bioturbated. At both locations ichnogenera normally associated with marine to brackish-water depositional environments are common. Three normally marginal-marine ichnofabrics are reported: (1) a Chondrites-reburrowed, Planolites ichnofabric resident only in massive-appearing muds; (2) a Scolicia (Laminites), Thalassinoides, Ophiomorpha ichnofabric that is manifested as intensely bioturbated silty sands; and (3) a Thalassinoides-generated ichnofabric that is interpreted to have descended into consolidated substrates and is thus representative of the Glossifungites Ichnofacies. Several trace fossils contain laminated infills organized into distinct sedimentary couplets that are best interpreted as resulting from semidiurnal processes.

Six conclusions are arrived at: (1) sedimentological and ichnological data consistently indicate that sediment accumulation dominantly occurred in sporadically dysoxic, marine to brackish water, under a tidal influence; (2) sediment accumulation occurred in bay-margin environments that prograded into a shallow, quiescent bay; (3) a stratified water column is indicated by the ichnofauna; (4) low accommodation space, repetitive and rapid adjustments of relative sea level, shallow wave base, and a stratified water column combined to generate an atypical parasequence architecture; (5) previously published isotopic data are consistent with sediment accumulation in brackish to marine water; and (6) marine incursion into Amazonia occurred during the Middle Miocene.

INTRODUCTION

The Pebas Formation (Middle Miocene 18–10 Ma; Solimões Formation in Brazil) crops out along the Amazon River in eastern Peru (Fig. 1). Recently interpreted to represent lacustrine to oligohaline sedimentary conditions (Vonhof et al. 1998), it was suggested that a temporally insignifi-
cient, transient, and restricted inlet connected “Lake Pebas” to the Carib-
bean Sea; marine processes were generally not a factor controlling depo-
sition. Isotopic (Vonhof et al. 1998) and paleontological data (Nuttall 1990; Lundberg et al. 1998; Vonhof et al. 1998) support these interpretations, whereas other paleontological (Rancy 1991; Hoorn 1993) and sedimento-
logical evidence (Räsänen et al. 1995; Gingras et al. 2002) suggests that these deposits are tidally influenced. None of the aforementioned studies have considered the ichnological data present in the Pebas Formation. This is a notable oversight because trace fossils are invaluable paleoenviron-
mental indicators (Pemberton et al. 1982; Ekdale et al. 1984; Savrda and Bottjer 1989; Bromley 1996), have genetic stratigraphic significance (Pem-
berton and MacEachern 1995; Savrda et al. 2001), and provide additional physical sedimentologic data, such as sedimentation rate and event depo-
sition (Bromley 1996; Pemberton and MacEachern 1997; Gingras et al. 1999; Gingras et al. 2002).

It is demonstrated below that these deposits result from unique sedi-
mentary responses to several parameters, including, limited accommodation space, high-order cyclicity, and restricted embayment waters. The sedi-
mentary successions thereby provide an important addition to bay-margin facies models. The ichnological and sedimentological database lead to the final interpretations, which could not be arrived at without the integration of the two datasets. The ichnological/sedimentological interpretations show the difficulty of assessing benthic paleoecology in a multi-stress environment. Finally, an understanding of the paleogeography of the Amazon Bas-
in is essential to understand its natural history. Several studies have noted the high biodiversity present in the Amazon Basin during the Miocene. These observations have been explained by climatic change (Van Der Ham-
men and Hooghiemstra 2000), island biogeography (Norees 1999), and vari-
able habitat (Hooghiemstra and Van Der Hammen 1998).

Study Locations

This paper focuses on two exposures in cutbanks along the Amazon River in Upper Amazonia, near Iquitos, Peru. The sections include Santa Julia II (S 3° 20′ 17″, W 71° 48′ 56″) and Tamshi-yacu (S 4° 00′ 36″, W 73° 09′ 24″) (Figs 1, 2). These locations illustrate different parts of the depositional system at approximately the same Middle Miocene time (Grassoretritilletis pollen zone; Räsänen et al. 1998).

Geological Setting

Several sedimentary environments have been identified in Pebas strata. These include distal bay (i.e., distal position in a large, quiescent embay-
ment), bay margin, deltaic, tidal channel, lagoonal, and backshore/non-
marine deposits (Räsänen et al. 1998). Individual parasequences are gen-
erally less than 10 m thick and are typically capped by lignites or rooted lignites (Fig. 2). Flooding surfaces (FS) directly overlie the lignites and are normally demarcated by a transgressive surface of erosion (TSE, Fig. 2).

Fossils recorded from the Pebas Formation include the bones and teeth of nonmarine turtles, alligators, and fish (Monsch 1998; Gaffney et al. 1998). Fossils with a marine affinity, generally shark’s teeth, have also been collected (Rancy 1991; Monsch 1998). An abundant mollusk fauna has been interpreted to reflect predominantly lacustrine conditions with episodic marine incursions (Vonhof et al. 1998).

Methods

Sedimentological and ichnological data were gathered through detailed descriptions of the parasequences. Sedimentary texture, sedimentary structure, nature of bedding and bedding contacts, fossil content, and lateral variability were documented. Ichnological observations concentrated on the identification of ichnogenera, the intensity of bioturbation, and the distribu-
tion of ichnofossils and ichnofossil assemblages. The size of the trace
fossils, and their physical interrelationships (such as interpenetrating, intercalated, or isolated occurrences) were also noted. The unlithified nature of the sediment made it difficult to observe bedding planes and hindered the identification of ichnogenera.

Some ichnological data was semiquantitative. For example: (1) the degree of bioturbation varies from nonbioturbated to completely bioturbated, and (2) trace-fossil distribution is sporadic (unpredictable), intercalated (associations of trace fossils that are juxtaposed in interbeds), or exist as recurring assemblages (ichnofossil assemblages that bioturbate beds). Other data, particularly trace-fossil size (generally tube diameter) and depth of penetration, were derived from averages of field measurements (generally \( n = 5 \) to 15).

**RESULTS**

**Sedimentary Facies**

On the basis of sedimentological and ichnological criteria, the Pebas Formation strata presented in this study show nine recurring sedimentary facies (Table 1). Table 1 outlines those criteria and offers a brief interpretation that relates to the facies’ depositional significance.

**Sedimentary Succession at Santa Julia**

The strata at Santa Julia II consist of three coarsening-upwards successions (Parasequences A, B, and C; Figs. 2, 3). These are variously capped by erosional surfaces that can represent regressive, transgressive, or coplanar surfaces of erosion that are overlain by flooding surfaces. Those discontinuities are demarcated by burrowed horizons characteristic of the Glossifungites Ichnofacies (Frey and Seilacher 1980; Pemberton and Frey 1985; Pemberton and MacEachern 1995; Gingras et al. 2001). All of the parasequences are laterally continuous at the outcrop scale, showing no notable thickening or thinning.

**Parasequence A (SJA).**—At 0 m, a crudely laminated, locally muddy shell bed (F3B) comprising 70% fragmented and 30% nonfragmented gastropod shells is present. This is predominantly composed of Pebasin endemics such as \( Pachydon \) and \( Dyris \) spp. The shell bed is abruptly overlain by a nodular horizon that occurs within the horizontally laminated muds of F1A. Between 0.25 and 0.52 m, the muddy accumulations are essentially nonbioturbated with the exception of rare \( Planolites \). Lenticular shell-fragment laminae are common in this zone, and organic laminae are rare. Another nodular horizon is present at 0.45 m.

At 0.52 m, F1A is scoured into by laminated sands (F2A) that grade upwards into massive-appearing, bioturbated sands (F2B). A subtle increase in grain size accompanies the facies change, wherein the stratum coarsens from lower very fine-grained sand at 0.52 m to upper very fine-grained sand at 3.10 m. Organic detritus and small mud fragments are common in this zone; both have MnO rinds 1 mm thick. Near 1.0 m, pinstripe-laminated silty sand is weakly burrowed with \( Planolites \) (Fig. 3A). Sediment couplets are locally common. Between 2.50 and 3.10 m, the ichnofabric consists of poorly defined tubular burrows, probably \( Thalassinoides \) (Fig. 3B). Facies 2B is crosscut by rhizoliths that descend from the overlying lignite (F3A), and by well-defined, unlined \( Thalassinoides suevicus \) that crosscut F3A and F2B. Santa Julia A is ultimately capped by the aforementioned rooted lignite (F3A; 3.10 to 3.25 m). At this location, the lignite is crudely horizontally laminated and contains several peat clasts.

**Parasequence B (SJB).**—A Glossifungites-demarcated discontinuity (F3C) denotes the base of Santa Julia Parasequence B (3.25 m). The i

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**Fig. 1.** Location map showing the area encompassed by the Pebas–Solimões (Miocene) sedimentary basin. Study localities (Santa Julia and Tamshiyacu) are indicated in the inset map. Also shown is the potential extent of a Miocene seaway as taken from Rasinen et al. (1995).

**Fig. 2.** Detailed lithologic logs of the sedimentary successions described or measured at the study localities. Physical and biogenic sedimentary structures are detailed in the lithology column. The green bars adjacent to the logs indicate facies that indicate a brackish-water interpretation. Note the repetitive sedimentary successions and the overall thinness of the parasequences.
The strata at Tamshiyacu consist of two coarsening-upwards successions (Parasequences A and B; Figs 2, 4). The parasequences are capped either by erosional surfaces or by lignites. Glossifungites-demarcated discontinuities (cf. Frey and Seilacher 1980; Pemberton and Frey 1985; Pemberton and MacEachern 1995; Gingras et al. 2001) are present at the bases of Parasequences A and B; these are composed solely of Thalassinoides.

**Parasequence A (TmA).**—The base of Parasequence A (0.82 to 3.30 m) is situated immediately above a lignite that denotes the top of an underlying parasequence. Several large-diameter Thalassinoides descend from the contact. The ichnofossils and their sedimentological occurrences are similar to the previously described examples of the Glossifungites Ichnofacies (F3C). Above the contact, laminated, rarely burrowed mud (F1A) grades into laminated mud with starved current ripples. Rare Chondrites-reburrowed Planolites are observed near 0.90 m (Fig. 4A). Near 1.25 m, however, F1A grades into nonburrowed, horizontally bedded sand and mud (F2C). Interbeds are composed of current-ripped sand with abundant organic detritus on ripple foresets.

At 1.57 m, a sharp, undulatory contact is encountered, marking the base of a moderately to highly bioturbated sand. At the base of the sand, low angle cross-lamination, and small-scale (60–100 cm hummock spacing) hummocky cross-stratification is present. The laminated bed is only 4 to 5 cm thick, and it rapidly grades into upwards-fining, bioturbated silty sand (F2B; 1.65–2.10 m). Although biogenic churning lends the sand a rather massive appearance, several ichnogenera are observed nevertheless. These include: common, broad, large-diameter Teichichnus; small, rare Thalassinoides (Fig. 4B); common, small Planolites and Palaeophycus (Fig. 4B); and near 2.10 m, rare Helminthopsis. Teichichnus clearly crosscuts the suite of trace fossils, but the other trace-fossil interrelationships are unclear.

Ironstone nodules are present near 2.15 m; these can be traced along the length of exposure. Above the nodular horizon, the stratum comprises massive-appearing muds of F1B. The lithofacies extends from 2.15 to 3.08 m. The only visible trace fossils in this unit are small, moderately abundant Planolites and very rare Thalassinoides. Although the massive appearance of the mud suggests that the bioturbation intensity is high, the local paucity of individual trace fossils makes this difficult to assess. Facies 1B is crosscut by roots that descend from the overlying lignite. Also, two Glossifying associations crosscut F1B; (1) a suite of large diameter (15 to 20 mm) Thalassinoides that extend from the lignite, and are filled with lignite, and; (2) shell-filled Thalassinoides that connect to a shell bed situated on top of the lignite, clearly crosscutting the lignite-filled burrows. For reasons presented in the discussion, the lignite bed is interpreted to form the base of Parasequence B. Thereby, the top of Parasequence A occurs at 3.08 m.

**Parasequence B (TmB).**—Parasequence B extends upwards from 3.08 to 6.40 m (Fig. 4D–F). The base of the succession is represented by a sharp contact between laterally discontinuous lignite and the underlying mud. A well-developed Glossifying assemblage is present at the base of the organic bed (F3C; Fig. 4D).

Above the lignite (3.3 m), a shell bed 8 to 12 cm thick is present (F3B). The shells are derived from various mollusks and have endured varying degrees of fracture and abrasion. Few are observed in their “normal” living position. Like the lignite, the base of this bed is burrowed with Thalassinoides and Psilonichnus characteristic of Facies 3C. In this example, the burrows are filled with shells and the ichnofossils clearly crosscut the lower, lignite-filled Thalassinoides.

Gray to brown clay is observed immediately above the shell bed, the lowermost part of which is laminated F1A. At 3.5 m, laminar sand is present; starved ripples increase in breadth and thickness upwards. These grade into F2C/D, locally burrowed, interlaminated and interbedded fine-grained sand, at approximately 4.0 m. Sand interbeds are planar to oscillation-ripple laminated. Mud drapes are abundant.

From 3.5 to 4.1 m, the degree of bioturbation is low (i.e. 1 to 3). Several ichnogenera are present, including Planolites, Palaeophycus, Skolithos, Arenicolites (Fig. 4E), Gyrolithes, and rare Cylindricalichnus. Variable and chaotic ?Teichichnus are also present. Burrows associated with the mudier sediment are small (< 3 mm diameter), and those descending from sand
Table 1.—Summary of facies used in this investigation to characterize Pebas Formation strata.

<table>
<thead>
<tr>
<th>Description</th>
<th>Occurrence and Contacts</th>
<th>Sedimentology/Accessories</th>
<th>Ichnology/Fossils</th>
<th>Interpretation</th>
</tr>
</thead>
<tbody>
<tr>
<td>F1A Laminated Mud (very common facies)</td>
<td>normally directly above ravinement (represented by F2B), less commonly sharply overlies F3A, FIB or FIC</td>
<td>horizontally laminated mud with abundant, thin, organic laminae; rare, thin, limnetic; shell and/or sand laminae; wood clasts; rare detrital amber; rare sand/mud couplets</td>
<td>trace fossils are generally absent, but rare Planolites are observed and thin, burrow mortoled zones may be distributed throughout F1A</td>
<td>low hydraulic energy; deposition primarily from suspension</td>
</tr>
<tr>
<td>FIB Bioturbated Mud (very common facies)</td>
<td>directly and abruptly above TSE (F1B and F3C) and ravinement (represented by F2B)</td>
<td>massive-appearing mud with interspersed organic detritus; sand and shell-debris sporadically distributed throughout the deposit; wood clasts; may include thin, horizontally laminated horizons; nodular horizons normally absent</td>
<td>moderately to thoroughly bioturbated Planolites reburrowed with Chondrites is common</td>
<td>rare energetic events are indicated by sand and shell laminae; bottom conditions stressed, possibly due to turbidity, dysoxic bottom water; salinity fluctuation or a combination of these factors</td>
</tr>
<tr>
<td>F2A Laminated Sand (common facies)</td>
<td>generally present in the upper third of parasequences; shares abrupt contacts with F2B</td>
<td>ripple (wave and current) laminated sand intercalated with horizontal to wavy-parallel laminations; composed of fine-grained, lithic arenite; small clay ripples clasts common</td>
<td>absent to very rare</td>
<td>low hydraulic energy; deposition primarily from suspension</td>
</tr>
<tr>
<td>F2B Bioturbated Sand (common facies)</td>
<td>mostly occurs in the upper half of parasequences; may grade from F2C</td>
<td>bioturbated, locally massive-appearing sand</td>
<td>generally completely bioturbated several ichnogenera observed: common Thalassinoides, Trichichnus, and Skolithos; rare Planolites, rare Cylindrichnus, rare Plicatichnus; rare, unfragmented gastropod shells</td>
<td>rare energetic events are indicated by disseminated sand and shell debris; bottom conditions are stressed, but (unlike F1A) the physicochemical parameters afford colonization by infauna</td>
</tr>
<tr>
<td>F2C Interbedded Sand/Mud (common facies)</td>
<td>mostly occurs in the upper half of parasequences; in general F2C is gradational with F1A and FIB, but it has been observed to grade into F2A</td>
<td>ripple (wave and current) laminated sand intercalated with horizontal to wavy-parallel laminaion and interbedded with horizontally laminated; locally organic rich mud</td>
<td>absent to rare bioturbation</td>
<td>stressful physicochemical conditions due to shifting substrate; low overall salinity; or fluctuating salinity</td>
</tr>
<tr>
<td>F3A Lignite/Organic Mud (common facies)</td>
<td>caps parasequences (regressive); locally forms in association with TSE; typically has sharp upper and lower contacts; may appear gradational with F2A and F2B due to diagenesis</td>
<td>massive appearance</td>
<td>rare ichnofossils include Skolithos, Planolites, and Arenicolites</td>
<td>sediment transport mechanism unclear due to biogenic reworking</td>
</tr>
<tr>
<td>F3B Mollusk Shell Beds/Lags (common facies)</td>
<td>caps parasequences and locally forms in association with TSE; commonly associated with F3A</td>
<td>rarely to moderate Planolichnus and Thalassinoides that descend from shell beds and are filled with shells</td>
<td>rare energetic events are indicated by sand and shell laminae; bottom conditions stressed, possibly due to turbidity, dysoxic bottom water; salinity fluctuation or a combination of these factors</td>
<td></td>
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<tr>
<td>F3C Glossifungites Ichnofacies (common facies)</td>
<td>common at the top and bottom of every parasequence</td>
<td>variable sedimentology; ichnofacies dependant</td>
<td>consists of robust, unlined burrows that descend from a discrete horizon; the burrows are filled with sediment derived from the overlying stratum</td>
<td>suggests changes in local base level; commonly referred to as a Glossifungites-decametric discontinuity</td>
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Note: TSE = transgressive systems tract.
Interpretation of the Sedimentary Successions

Glossifungites Ichnofacies in the Pebas Formation

Four of the five parasequences described in this study are demarcated at their bases by the Glossifungites Ichnofacies (SJB, SJC, TmA, and TmB). All of the surfaces possess tubular, branching, moderate to large diameter (10–25 mm) Thalassinoides suevicus. In addition, firmground Psilonichnus epsilon is reported from the base of TmA and SJC. In all of the examples, the trace fossils crosscut rhizoliths, are unlined, and are infilled with overlying sediment. Thalassinoides and Psilonichnus observed in two of the parasequences (SJB and C) locally contain passively laminated, rhythmic infill, in which sedimentary couplets are preserved (Fig. 3C). The couplets are regularly asymmetric and are taken to be the result of tidal processes infilling abandoned burrows.

The Glossifungites Ichnofacies consists of burrow architectures and sedimentological relationships that demonstrate burrowing into a firm substrate. The widespread exposure of firm (compacted) sediment requires erosion of previously buried sediment, due to changes in local base level. Such changes are most commonly attributed to fluctuations in sediment supply, subsidence/uplift, autoyclic avulsion (such as delta-lobe or channel abandonment), or eustatic adjustment. Where Glossifungites-deposited surfaces are widespread, mappable, and recurrent in the stratigraphic succession, their presence is generally attributed to changes of relative sea level. Several researchers have demonstrated the importance of identifying Glossifungites Ichnofacies assemblages in the rock record, inasmuch as they are commonly associated with transgressive surfaces of erosion (TSE) (Pemberton and Frey 1985; Pemberton and MacEachern 1995; Gingras et al. 2001; Savrda et al. 2001).

In the Pebas Formation, Thalassinoides are attributed to the work of thalassinid shrimp; this interpretation is based on the presence of complex subaperture geometries that are common in thalassinid burrows but are absent in the burrows of other crustaceans (Gingras et al. 2000). Relating these burrows to the work of thalassinid shrimp is well supported by several modern and ancient studies (Shinn 1968; Rice and Chapman 1971; Frey and Howard 1975; Frey et al. 1978; Dworschak 1983; Griffin and Chavez 1988). Furthermore, the irregular burrows of crabs, lobsters, and crayfish are equally well known, and they characteristically have simple U-, J-, or Y-shaped subapertures with rare or no basal branching (Farrow 1971; Atkinson 1974; Humphreys and Balsom 1988; Hasiotis and Honey 2000; Gingras et al. 2001).

On the basis of the presence of Thalassinoides, Glossifungites Ichnofacies assemblages of the Pebas Formation are interpreted to have a marginal marine affinity. The occurrence of the Glossifungites Ichnofacies at the bases of parasequences, their marginal marine affinities, and the presence of rhythmic burrow fills suggest that the Pebas examples represent TSE in a brackish-water setting (Fig. 5A, discussed below). An exception to this is the Glossifungites Ichnofacies assemblage that is reported near the top of SJC. In that case, the ichnofossils are interpreted to have been emplaced upon the erosional progradation of the bay margin into the embayment (Fig. 5B, discussed below).

Santa Julia

Interpretation of Parasequence A (SJA).—Santa Julia A (0–3.25 m) is initiated with a sharp-based bed of partially fragmented shells. Although the degree of fragmentation is high, abundant, intact gastropod shells suggest that the shells are more or less in situ, and thereby represent a reworked, winnowed accumulation of skeletal debris. Crude, horizontal, muddy laminae within the shell bed indicate that the bed represents an amalgamation of several higher-energy events. The absence of high-angle cross lamination within the bed is indicative of wave- as opposed to current- reworking. Vonhof et al. (1998) show that the shelly fauna consistently represents a fresh to low-salinity epifaunal assemblage of mollusks. The shell bed is therefore interpreted to represent in situ wave reworking of a lagoonal or backshore deposit upon transgression. The overlying muds of F1A represent incursion of embayment waters across the area. The sedimentary environment was characterized by low hydraulic energy, but lenticular shell laminae suggest that episodic, basinward transport of detritus was common. The low-diversity and rare ichnofauna (primarily Planolites) in SJA, support stressful physicochemical conditions, which may reflect: (1) fresh water, or very low salinity; (2) widely fluctuating salinity; (3) low amounts of dissolved oxygen in the water column, and/or; (4) a turbid water column. The highly restricted trace-fossil assemblage is not consistent with ichnofabrics produced due to salinity stress alone, which includes (among other criteria), locally high degrees of bioturbation, marine trace fossils, and a mix of suspension and deposit feeding strategies (Pemberton et al. 1982). The assemblage is also dissimilar to the Chondrites-dominated ichnofabrics that are strongly associated with low oxygenation in marine settings (e.g., Savrda and Bottjer 1989; Sageman et al. 1991). Rather, the abundance of fine-grained sediment and the extensive use of a deposit-feeding strategy, coupled with a very low diversity of ichnofossils, are more consistent with stresses induced by turbid water in a salinity-stressed environment (Gingras et al. 1998).

Coarser sediment erosionally overlies the weakly burrowed muds. Although cross lamination is rare, the increase in grain size, abundant mud ripup clasts, and the erosional contact indicate an increase in hydraulic energy. The more abundant organic detritus is consistent with a proximal position to the paleoshoreline. The transition to bioturbated sand suggests that bottom conditions were amenable to colonization. Strata between 1.05
and 2.00 m are highly bioturbated with a low-diversity trace-fossil assemblage (Fig. 3A, B). Similar ichnofabrics are related to physicochemical stresses induced by: (1) low overall salinity and/or (2) widely fluctuating salinity (Pemberton et al. 1982; Beynon and Pemberton 1992; MacEachern and Pemberton 1994; Ranger and Pemberton 1997; Gingras et al. 1999). The overlying, peaty lignite represents accumulation of organic material in a swamp or marsh, backshore setting. In situ root traces attest to this interpretation.

**Interpretation of Parasequence B (SJB).**—The base of SJB (3.25 m) comprises a Glossifungites demarcated discontinuity interpreted to represent a TSE in a marginal marine setting. Here, large Thalassinoides crosscut the SJA lignite, the rhizoliths associated with the lignite, and the bioturbated silty sand that is immediately below the SJA lignite. The firmground burrows are filled with mud derived from the basal part of SJB and locally are rhythmically infilled.

Immediately above the Glossifungites Ichnofacies, the mud is locally burrowed and dominantly horizontally laminated. Lenses filled with shell debris indicate rare higher-energy events that swept fragmented shells into an otherwise quiescent environment. Bioturbation is sporadically observed to 5.80 m, and the trace-fossil assemblage displays a very low diversity.
ICHNOLOGY AND SEDIMENTOLOGY IN THE AMAZONIAN FORELAND BASIN

Fig. 5.—Schematic interpretation of Pebas Formation bay-margin parasequences. A) Transgressive deposits may be characterized by tidal ravinement in the backshore and wave ravinement in the bay margin. This best explains the complex Glossifungites Ichnofacies association seen at the base of TmB. The lignite is inferred to have formed during transgression. Firmground burrows are shown in the backshore and the foreshore. The backshore assemblage is characterized by burrows filled with lignite, and the foreground burrows are shell-filled. The shell material was winnowed from backshore deposits, explaining their low-salinity isotopic signatures.

B) On regression, the toe of the bay margin erodes into the underlying distal bay sediments. Locally, the exhumed distal bay deposits host a firmground assemblage of the Glossifungites, as with SJC (9.6 m). Here it is suggested that the hydraulic action of the bay margin determines where the water is oxygenated and de-stratified. Chondrites-reburrowed Planolites represent the dominant ichnofabric. The presence of Chondrites is notable because it is a common ichnofossil in marine deposits, especially in dysaerobic depositional settings (Savrda and Bottjer 1989; Sageman et al. 1991). The preservation of organic-rich laminae up to 5.80 m in the section also indicates that the water-sediment interface was dominantly dysaerobic; in fact, the presence of abundant organic material may have been the main agent causing low-oxygen conditions (Hollander et al. 1992; Cornwell et al. 1996).

Above 5.80 m, the grain size increases slightly to silty (locally sandy) mud. Larger trace fossils, such as Thalassinoidei and Psilonichnus, are an additional component of the ichnofabric, which is thoroughly bioturbated. The larger trace fossils represent the domiciles of crustaceans, which have normal oxygen requirements; thus stresses other than dysaerobic conditions influenced the ichnofacies. High degrees of bioturbation, low diversities of ichnofossils, and a preponderance of infaunal trophic generalists of a marine affinity are all characteristics of the brackish-water model initially articulated by Pemberton et al. (1982). Here, the trace-fossil assemblage indicates low overall salinity, or salinity fluctuations. The presence of rhythmic burrow infills organized in couplets suggests that tidal currents may have induced salinity fluctuation. The parasequence ends abruptly at 6.95 m. The top of the stratum was probably eroded, and the parasequence is incompletely preserved.

Interpretation of Parasequence C (SJC).—Santa Julia C is a crudely coarsening-upwards succession, with a thin, winnowed sandy horizon at its base. Bioturbation is common in the sandy beds and is comparatively sparse in the muddy beds. The parasequence is bounded at its top and base by Glossifungites-demarcated horizons, both of which are interpreted to represent marine-influenced TSE. The basal Glossifungites Ichnofacies assemblage locally contains rhythmically laminated infills (Fig. 3C) that potentially record tidal processes.

 Highly bioturbated silty sand sits directly above the basal Glossifungites Ichnofacies (7.0 to 7.4 m) (Fig. 3D, E). Trace fossils in the overlying sand, notably Ophiomorpha, Asterosoma, and Scolicia, show that marine conditions dominated at the time of sedimentation. The majority of Ophiomorpha are reported from marginal marine and marine deposits (Frey et al. 1978). Where Ophiomorpha is reported from nonmarine settings, such as by Loope and Dingus (1999), the dominant features of the ichnofossil are silt- and sand-ball linings, in stark contrast to the muddy fecal pellets that line marginal marine and marine Ophiomorpha. Asterosoma and Scolicia are reported only from marine deposits. Urchins, which are the only
known trace maker of *Scolicia* (Smith and Crimes 1983), have no significant reduced salinity tolerance. As a whole, the trace-fossil assemblage in the silty sand (*Teichichnus, Thalassinoides, Ophiomorpha, Rhizocorallium, Planolites, Asterosoma*, and *Skolithos*) represents a proximal *Cruziana* ichnofacies (Pemberton et al. 1992). Similar assemblages characterize the lower bay margin and proximal distal bay in Cretaceous rocks of the Western Interior Seaway (MacEachern and Pemberton 1992; Pemberton et al. 1992). The lowermost sand probably represents the churned remnants of the ravinement deposit associated with the marine transgression.

Locally bioturbated muds that lie immediately above the marine deposits signal a switch to a more quiescent and restricted depositional environment. Above 7.45 m, pinstripe lamination may develop in response to weak tidal currents with shell lenses emplaced during higher energy events. Up to 8.40 m in the section, the *Chondrites, Planolites*, and *Zooplankton*-dominated ichnofabric is linked to both low levels of oxygen in the water column (Bromley and Ekdale 1984; Savrda and Bottjer 1989; Sageman et al. 1991) and quiescent depositional conditions; they are indicative of a marine, dysaerobic, sheltered, distal bay environment.

From 8.45 m to 9.5 m, lower trace-fossil diversities, smaller trace-fossil sizes, and lower degrees of bioturbation all indicate that the sedimentary environment was increasingly dysaerobic upwards. An absence of silt or sand laminae, the massive and organic-rich appearance of the mud, and the absence of *in situ* bivalves support this interpretation.

Bioturbated sands (9.5 to 10.0 m) (Fig. 3F) document the progradation of lower bay-margin sediments into the area. Roy et al. (1994) showed, with numerical models, that regressive bay-margin systems can be markedly and regionally erosive, especially if the depositional slope is shallow and accommodation space limited. Because the sand body does not directly overlie a sequence boundary, it is more likely that the unit represents rapid progradation of the bay margin in an area characterized by limited accommodation space, not a response to falling sea level, which would be manifested as a forced regression (Posamentier and Allen 1999). The base of the sands (9.50 m) is bioturbated by a *Glossifungites* Ichnofacies that locally contains rhythmic infill. The size and architecture of the *Thalassinooides* present indicate marine conditions, which is supported by the presence of *Asterosoma* in the softground suite above the contact. These sands ultimately grade into nonburrowed organic-rich muds. The muddy deposits are interpreted to reflect restricted lagoonal or a marsh or backshore environment. Because roots and paleosols are absent, the lagoonal interpretation is preferred. The lagoonal muds are truncated by an erosional surface and crosscut by a *Glossifungites* Ichnofacies assemblage.

**Tamshiyacu**

**Interpretation of Parasequence A (TmA).**—Like Parasequences SJB and SJC, Parasequence TmA has an erosional base that hosts a *Thalassinooides*-dominated *Glossifungites* ichnofacies. The ichnosculptures are present at the bottom of the parasequence, and they are overlain by distal bay muds (see below); the assemblage is taken to have been emplaced during transgression, and thereby represents a TSE. The thin, discontinuous, shelly mud overlying the TSE is interpreted to have been winnowed from the underlying lignite facies during transgressive erosion. Directly above the shelly mud (0.85 m) is organic-rich, nonburrowed mud that is nonburrowed because of dysaerobic conditions. The grain size increases upwards, and starved oscillation-ripple lamination is common between 1.30 and 1.57 m, but the sediment is nonburrowed. Logically, wave agitation should aerate the water column. The absence of infauna points to two possibilities: (1) the presence of dysaerobic conditions throughout the water column, or (2) sedimentation in a freshwater system. In this example, dysaerobic water is the preferred interpretation because the overlying stratum, which is interpreted to be a part of the same depositional system, is heavily bioturbated and contains a marginal marine ichnofauna (see below). Alternatively, the unburrowed zones might reflect taphonomic bias due to lack of lithological contrast (cf. MacEachern et al. 1999), but laminae in the mud are continuous and show no signs of biogenic disruption.

At 1.57 m, a sharp-based, bioturbated sand is present. Hummocky cross stratification at the base was generated by storm waves. Above 1.65 m, the stratification has been eradicated by biogenic reworking. In this case, *Teichichnus, Thalassinoides, Helminthopsis, Planolites*, and *Palaeophycus* form a stressed, proximal *Cruziana* Ichnofacies, consistent with lower bay-margin ichnofossil assemblages (Pemberton et al. 1992). Because of the larger size of the trace fossils and intense reworking of the sediment, dysaerobic conditions are discounted as a notable stress at this level. Rather, the low diversity of trace fossils, their (normally) marginal marine affinities, and the trophic generalist behaviors, as well as the high degree of bioturbation, are all consistent with bioturbation in brackish-water environments (Pemberton et al. 1982; Beynon and Pemberton 1992; MacEachern and Pemberton 1994; Ranger and Pemberton 1997; Gingras et al. 1999). As with SJC, this sharp-based sand is interpreted to be the remnant of a prograding, erosionally based bay margin.

The discontinuous nodular horizon (2.15 m) may reflect slow sedimentation rates, as discussed earlier. Massive muds, crosscut by roots, comprise the rest of the section. These are interpreted to be lagoonal, because of the extremely stressed ichnofacies (small *Planolites* and *Thalassinooides*), and the position of the mud above bay-margin sand and below rooted lignite. For reasons outlined below, the lignite at (3.08 m) is thought to represent the transgressive base of Parasequence TnB.

**Interpretation of Parasequence B (TnB).**—A *Glossifungites*-dominated discontinuity is present at the base of the Tamshiyacu succession, indicating the presence of a TSE. The shell bed above the surface shows signs of reworking, and is interpreted to represent a winnowed, low-energy, transgressive lag.

Nonburrowed organic clay (3.40 m) was deposited during maximum flooding. As with Parasequence TmA, starved oscillation ripples precede the appearance of notable bioturbation (3.50 m). Rare current ripples were developed during or immediately following higher-energy events. Overall, the trace fossils, which include *Planolites*, *Palaeophycus*, *Skolithos*, *Arenicolites*, *Gyrolithes*, and *Cylindrichnus*, are diminutive, exhibit a low diversity, and are sporadically distributed. The low diversity of trace fossils, the inclusion of trophic-generalist behavior, the extremely small size of some ichnofossils, and their sporadic distribution suggest that salinity-stressed conditions helped shape the benthic community. Zones that are impoverished either reflect dysaerobic conditions or are the result of taphonomic bias due to lack of lithological contrast (cf. MacEachern et al. 1999).

From 4.10 to 5.20 m, increased sand and the preponderance of oscillation ripples reflect continued shoaling. The general absence of trace fossils throughout this zone is attributed to one or more of the following processes: rapid sediment aggradation; common hydraulic reworking of the sediment; extreme water chemistries, such as fluctuating salinity, fresh water infiltration, or anoxia, and/or extreme turbidity. Of these, the overall sedimentary character (including the presence of clean laminae) of the deposit indicates that unsuitable water chemistries for benthic colonization best explain the paucity of bioturbation.

Above 5.40 m, low-angle cross lamination and oscillation ripples are attributed to storm-event beds. These pass upward into a bioturbated, coarsening-upwards sand bed (5.72 to 6.20 m) that represents further progradation of the shoreline system. Ichnogenera present include *Thalassinooides*, *Ophiomorpha*, *Diploraiton*, and *Arenicolites*. The low diversity of ichnogenera, overall high degrees of bioturbation, small to moderate sizes of trace fossils, and the use of trophic generalist behaviors are most like brackish-water, marginal marine ichnocoenosae (outlined above). Because of the erosional truncation of Parasequence TmA, it is difficult to assign the bioturbated media (5.72 to 6.20 m) to a discrete depositional environment. Its position in the parasequence and the trace-fossil assemblage suggest that the bed is: (1) depositionally higher in a low-energy bay-margin system.
or (2) represents backshore sediment accumulation in a brackish-water lagoon.

A deformed shell lag underlain by a Glossifungites-demarcated discontinuity is present at the top of the parasequence, which is again interpreted to represent a TSE.

**Discussion**

**Ichnology**

Three recurring ichnofossil assemblages show that marine and brackish waters, not freshwater, were present during parasequence development. These are: (1) a dysaerobic, brackish-water to marine, Chondrites-reburrowed Planolites ichnofabric resident only in very fine massive muds (F1B) (Fig. 4A); (2) a brackish-water to marine, Scolicia, Thalassinoides, Ophiomorpha ichnofabric (F2B) (Figs. 3D, E, and F); and (3) a brackish-water, Thalassinoides-generated ichnofabric that is interpreted to have descended into consolidated substrates, and thus represents the Glossifungites Ichnofacies (F3C) (Figs. 3C, 4D). Although absolute salinity is difficult to assess from trace-fossil data, modern studies suggest that Scolicia, which is produced by irregular urchins in modern deposits (Seilacher 1986; Fu and Werner 2000), may serve as an upper mesohaline to marine indicator. This is due to the low tolerance of urchins to brackish- and fresh-water conditions (Irlandi et al. 1997; Basuyaux et al. 1998; Metaxas 1998). Likewise, Chondrites is commonly taken to indicate brackish to marine waters, and, although the Chondrites-tracemaker’s potential tolerance to brackish-water has not yet been not established, Chondrites has been reported in marine deposits from hundreds of studies. In contrast, reports of Chondrites in continental deposits are rare. Two notable studies warrant comment: (1) Archer and Maples (1984) reported that Chondrites is present in nonmarine Pennsylvanian strata in southwestern Indiana. These are marginal marine and continental strata deposited in a deltaic setting. We respectfully contend that complex stratigraphic relationships may provide alternative solutions to that study. (2) Hu (1994) suggested that Chondrites, Palaeodictyon, Prototopalaedictyon, and Granularia constituted the ichnofabric present in lacustrine turbidites. This represents an anomalous lacustrine trace-fossil assemblage. In fact, the ichnology of ancient lacustrine systems is generally limited to surface and interface tracks and burrows; Scoyenia, Planolites, Helminthopsis, Beaucotes, Tuenidium, Rasophyscus, Umfolozia, as well as irregularly branching burrows are by far the dominant ichnofossils in lacustrine settings (Buatois et al. 1996; Buatois and Mángano 1998).

Notably, abundant ichnological and neobiological evidence show that tubular, smooth-walled, regularly branching Thalassinoides are a marginal-marine to marine fauna phenomenon (Shinn 1968; Rice and Chapman 1971; Frey and Howard 1975; Frey et al. 1978; Dworshek 1983; Griffiths and Chavez 1988; Gingras et al. 2000). Modern studies show that in firmgrounds, these trace fossils are made by thalassinid shrimp (Gingras et al. 2000; Gingras et al. 2001), which indicates that sedimentation occurred in mesohaline to marine waters.

**Parasequence Architecture**

The parasequences are thin, ranging between 2.5 and 4.0 m thick (TmA and SJC, respectively). The Santa Julia A and B, and TmB are incomplete, inasmuch as they are truncated by TSE (Figs. 2, 5). In the cases of SJC and TmA, middle parts of the parasequence are eroded, as inferred from the presence of a Glossifungites-demarcated discontinuity possibly associated with a RSE. Also, SJC and TmA have TSE at their tops (Figs. 2, 5). Thin, erosionally amalgamated parasequences reflect reduced amounts of accommodation space available for sediment accumulation.

Relatively repetitive parasequence thickness resulted from rapid, meter-scale, pulsed tectonic subsidence, associated with orogenic activity in the Andean mountains. This activity is believed to have induced several fourth- and fifth-order cycles in other South American basins during early and late Miocene times (Bao et al. 1999; Saez et al. 1999). Following subsidence, embayment waters transgressed the low-gradient continental plain, truncating preexisting shoreline deposits (Fig. 5A). The shallow, broad sediment-accommodation zone was rapidly filled with prograding marginal-marine, bay-margin deposits. Locally, the bay margin eroded into the distal bay sediments as the shoreline prograded (RSE; Fig. 5B).

The bay-margin deposits that are described in Parasequences SJC and TmA are thin. In both parasequences, the sharp-based bay-margin strata grade upwards into lagoonal or backshore deposits, over thicknesses of 1.0 to 1.5 m. The thinness of the Pebas bay-margin sands is attributed to a very shallow wave base that, in effect, compressed subtidal, foreshore, and backshore deposits into a thin sedimentary package. The shallow wave base was potentially promoted by the very low-gradient depositional dip that dissipated wave energy over an exceptionally broad zone.

Restricted (dysaerobic) distal bay deposits provide a striking contrast to the burrowed, marginal marine sands observed in association with transgressive and regressive bay-margin deposits (Fig. 5). The basinal waters were probably layered and dysaerobic. This may have been the result of salinity layering in a quiescent depositional system. A result of layered bay waters is that the ichnofacies are strongly linked to the water energy. Parasequences developed under these conditions should be anticipated and will likely consist of (Fig. 5): (1) a TSE, demarcated by the Glossifungites Ichnofacies (F3C) infilled with sediment that is derived from the immediately overlying bioturbated sand (F2B); (2) an overlying, sporadically bioturbated mud that exhibits a dysaerobic, marine suite of trace fossils; (3) a gradational or erosional transition into bioturbated bay margin sands; and (4) a capping regressive ligntite or a TSE with a transgressive ligntite.

**Reconciliation with Previous Work**

The findings of this study are significant in that they demonstrate a marine influence in the Middle Miocene (14–10 Ma) in the Amazonas–Soli-moles Basin. The strongest data against persistent marine incursion come from isotopic data, particularly Sr ratios, and 18 O and 13 C enrichment (Vonhof et al. 1998). These databases were derived from the abundant mollusk fossils present in Pebas strata. Notably, no cosmopolitan (marginal marine) shelly fauna is observed. Oysters, arcshells, and litoridinids are conspicuously absent. Also, the preserved mollusk assemblage is most consistent with those observed in limnic systems (Vonhof et al. 1998).

Strontium isotope data (Vonhof et al. 1998) indicate that the upper Pebas had a primarily fresh-water origin (up to 5% salinity). Although the methodology and interpretation of the Sr data are correct, they are dependent upon two assumptions. The first is the degree of accuracy to which Sr isotopic proportions are known for the middle Miocene (approximately 14–11 Ma); the second is that Sr concentrations in modern Andean- and shield-derived freshwater provide good proxies for Miocene fluvial sources. Shifts in these values would markedly change the mixing curves presented in Vonhof et al. (1998) and change the predicted salinities. In the case of 18 O and 13 C, their depletion can be explained by mixing meteoric with marine water. As with strontium values, baselines for Miocene 18 O enrichment are uncertain and probably varied appreciably. Notably, much of the mollusk data (at least 12 of 17 sample points) presented in Vonhof et al. (1998) are derived from samples located immediately above parasequence boundaries, or very near lignitic accumulations. Because the database is biased towards the tops and bases of parasequences, we suggest that this isotopic database reflects the most extreme nonmarine conditions in the system and that the data support our interpretations.

Our observations bolster those of Räsänen et al. (1995) who reported the presence of tidalites near the Madre de Dios–Beni basin. Notably, Von Thering (1927) proposed a similar paleogeography based on foraminifera data. The potential presence of an extensive Miocene embayment seaway merits further research because of its implications regarding the understanding of the paleogeographical, paleoenvironmental, and paleoecological de-
velopment and origin of Amazonian biota (Räsänen et al. 1995; Webb 1995).

CONCLUSIONS

A detailed analysis of the ichnology and sedimentology of the Peixas Formation at Santa Julia and Tamshiyacu leads to several conclusions.

1. Three recurrent ichnofossil assemblages show that marine and brackish waters were present during the time of sediment deposition: (1) a dysoxiaerobic, brackish-water to marine Chondrites-reburrowed, Planolites ichnofabric observed in massive muds; (2) a brackish-water to marine, Scolica, Thalassinoides, Ophiomorpha ichnofabric that is manifested as intensely bioturbated silty sands; and (3) a brackish-water, Thalassinoides-generated, Glossifungites Ichnofacies.

2. Sediment accumulation occurred in bay-margin environments that prograded into a shallow, quiescent (very shallow wave base) bay.

3. A stratified water column is evidenced by the ichnofauna; the trace assemblage is robust only where a sedimentary record of hydraulic energy is preserved.

4. Low accommodation space, repetitive and rapid adjustments of relative sea level, shallow wave base, and a stratified water column all combined to generate an unusual, but predictable parasequence architecture.

5. Previously published isotopic data is consistent with sediment accumulation in brackish to marine water if the stratigraphic location of the isotopic sample locations are considered and a stratified water column is recognized.

6. Marine incursion into Amazonia occurred during the Middle Miocene. Future research should focus on the temporal and paleogeographic extent of that event.

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